

Università degli Studi di Padova

Dipartimento Territorio e Sistemi Agro-Forestali (TESAF)

SOCIAL CAPITAL AND THE LEADER APPROACH: From Theory to Empirics

Elena Pisani, Giorgio Franceschetti, Laura Secco, Riccardo Da Re, Maria Castiglioni



Outline of the presentation

Rural Development and Social Capital

Why LEADER? → now CLLD (Europe 2020)

The evaluation of LEADER proposed by the EENRD: limitations

The evaluation method here proposed

Specification of possible results and concluding remarks





Rural development and social capital

POLICIES/INSTRUMENTS

Rural development

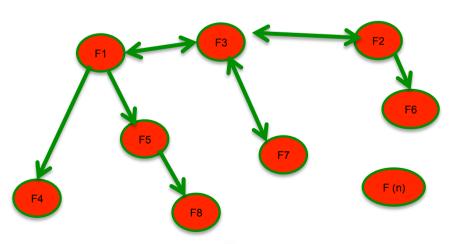
Agricultural development

Environmental protection

Social, Cultural Policies

Inputs Activities Outputs Outcomes Impacts

INDICATORS (Common Monitoring & Evaluation Framework)



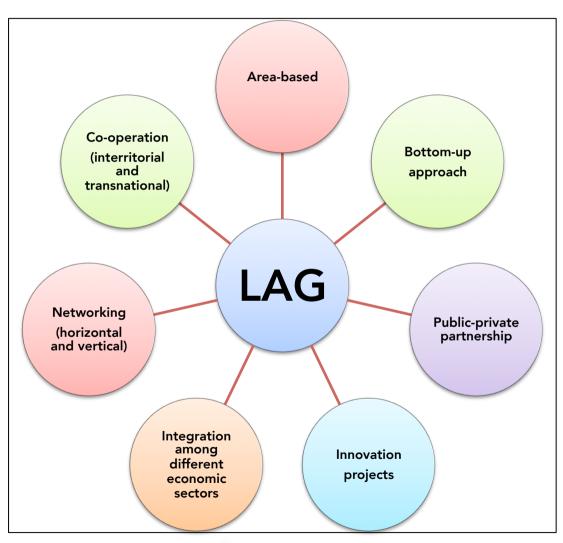
EFFECTS (positive-negative)
MARGINALIZATIONSTABILITY-PROSPERITY OR
FUROPEAN RURAL AREAS

Abandonment, biodiversity depletion (un)employment, Land-use change, Natural & Cultural Heritage, Social Exclusion/Inclusion, Landscape evolution, Services, Infrastructure, Demography, Innovation, ect.





What is LEADER?→ now CLLD (Europe 2020)



- 1991-1993 LEADER I
- 1994-1999 LEADER II
- 2000-2006 LEADER PLUS
- 2007-2013 LEADER APPROACH (CAP)
- 2014-2020:
- CLLD (SIF)





How to evaluate the impact of LEADER?

Figure 7 Defining judgement criteria for the 4 dimensions of Quality of Life and Leader Coordinat Work-life Local Valorisation Partnership Access to Cultural Valorisation between of rural identity working halance Human of socioassets and Level of Quality of composition nfrastructures of cultural opulation in rural different and job capital economic and Governance and their assets levels of overnanc Enhanced well-being Multi-level Social capital Livelihoods Liveability Cultural capital Local governance due to environment governance Socio-culture FIELDS OF **OBSERVATION** Rural AND ASSESSMENT **Economy** Governance Rural Environment WITH OR Leader WITHOUT...

Limits of the present evaluation framework propose by the European Network on Rural Development (2011)

Table 2 Suggestions for specific evaluation questions and impact indicators for the socio-cultural theme

Impact categories	Assessment criteria	Specific evaluation questions related to social capital	Suggested impact indicators	
	Local identity and coherence strengthened (usually more	A. To what extent have the RDP measures increased the interaction amongst actors to promote a sense of place and to strengthen community ties?	Number of people participating in collective investments and composition of participants in projects of this type.	
Social capital	associated with bounding social capital)	B. To what extent have co-operation and networking increased the economic performance of the area?	Relative number and volume of business/employment arising from co-operation and networking relationships.	
Soci	Networking and openness fostered (usually more associated with	C. To what extent have RDP measures enhanced the actors' capacity to identify and take up new ideas, tacit skills, etc. and turn them, into innovation?	Number of newly established external relationships to key stakeholders , defined as such stakeholders playing a dominant role in: flows of knowledge;	
	bridging social capital)	ENRD H1	: highest the local ident	

ENRD H1: highest the local identity → highest the bonding social capital ???

ENRD H2: increased number of relations with key stakeholders (playing a dominant role) → increased bridging social capital ???



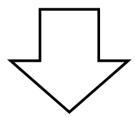


Social capital and its measurement

Solow (1995)

- comparability with other measured forms of capital
- identification of investment and depreciation processes, enhancing or reducing the stock values
- necessity to define a clear measurement system

Sabatini (2009) assessment of its key dimensions (networks, trust and social norms) by means of direct indicators



Social capital definition: "networks together with shared norms, values and understandings that facilitate cooperation within or among groups"

(OECD, 2001, p.41)





Research objectives

- A method for quantifying the value of social capital of the Local Action Groups LEADER Approach
- An instrument for assessing a central immaterial feature of LEADER to be used in RDP evaluation, avoiding the critical elements of the frameworks proposed by ENRD (2010) and Metis Report (2010).



The proposed evaluation approach (1.4)

STRUCTURAL SC

A. CONTEXT

B. ACTORS OF THE NETWORK

C. HORIZONTAL STRUCTURE

D. TRANSPARENCY

E. REPUTATIONAL POWER

NORMATIVE AND COGNITIVE SC

F. TRUST AND RECIPROCITY

G. INSTITUTIONAL TRUST

H. QUALITY OF THE NETWORK

I. QUALITY OF THE PARTICIPATION

L. COMMON VALUE

M. CONFLICTS

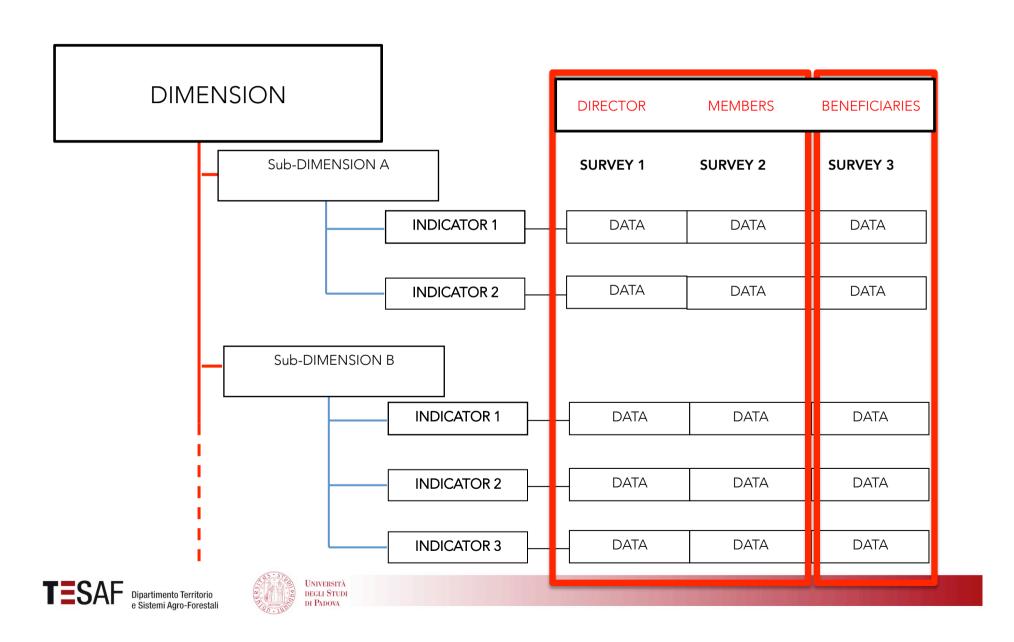
LOCAL GOVERNANCE N. DECISIONAL PROCESSES

O. EFFECTIVENESS AND EFFICIENCY

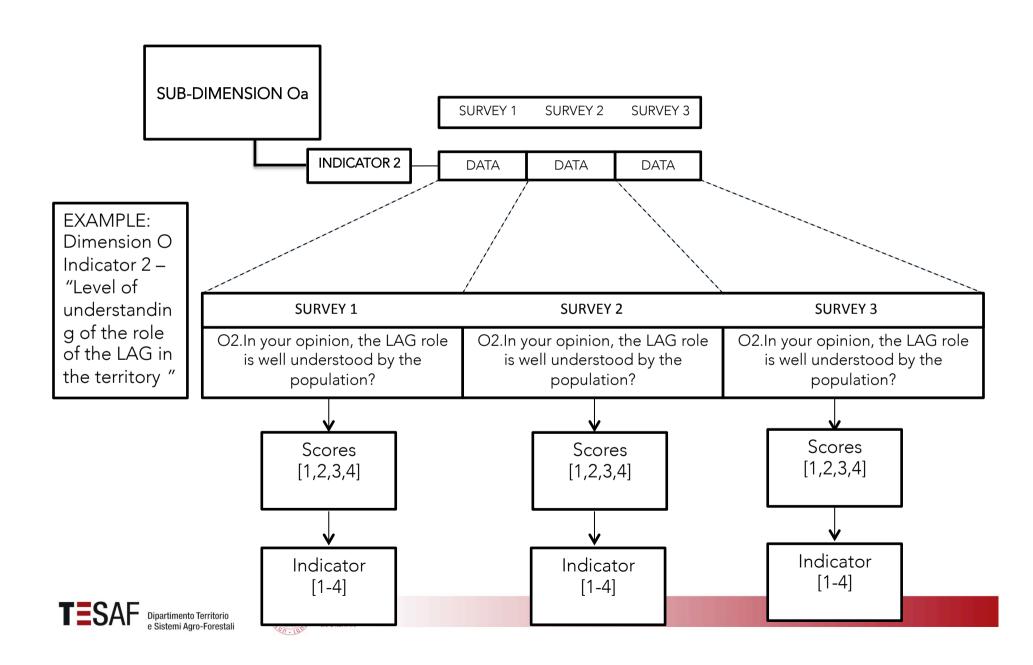
P. ORGANIZATIONAL CULTURE

Q. VERTICAL STRUCTURE

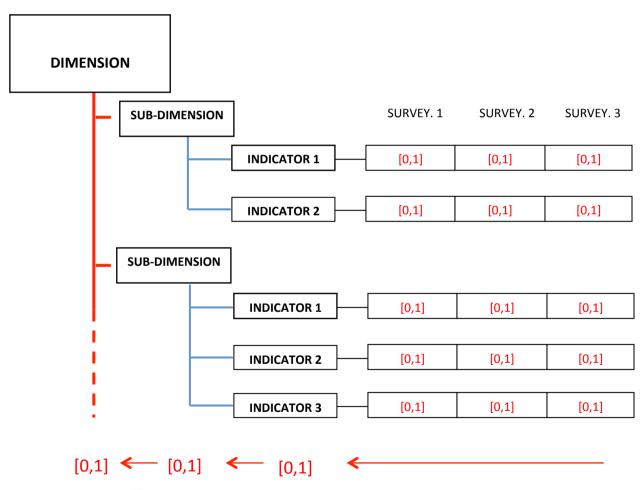
The proposed evaluation approach (2.4)



The proposed evaluation approach (3.4)



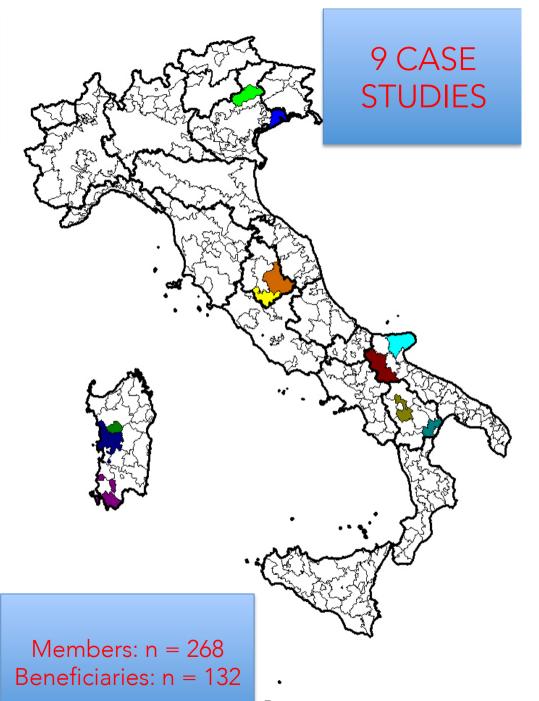
The proposed evaluation approach (4.4)







LOCAL ACTION GROUP	MEMBERS	MEMBERS INTERVIEWED	BENEFICIARIES INTERVIEWED
PREALPI DOLOMITI	25 (11 public - 14 private)	23	17
BASSA PADOVANA	12 (3 public - 9 private)	11	19
VALLE UMBRA E SIBILLINI	33 (23 public - 10 private)	31	15
TERNANO	22 (10 public - 12 private)	22	20
GARGANO	61 (20 public - 41 private)	61	20
MERIDAUNIA	85 (33 public - 52 private)	47	4
COSVEL	33 (19 public - 14 private)	21	20
BASENTO CAMASTRA	33 (19 public 14 private)	24	-
SULCIS	76 (25 public - 51 private)	28	17



Question→Indicator→Sub-dimension→Composite Index

Question to Director	Question to Member	Question to beneficiary	Code	Indicator	Sub-dimension	Dimension	Composite index
D	M		F1	Level of members' trust in the General Assembly	F/a – Internal trust		L
	M		F2 *	Level of interpersonal trust of the LAG members	within the LAG	Bu	<u> </u>
	M		F3 *	Level of isolation of the members of the General Assembly in		nd among	CPAITAI
				terms of trust		<u> </u>	CP
	M		F4 *	Level of trust in the LAG Board of Directors		ıst cit ərs	
D	M		F5	Rate of inter-temporal change of members' trust in the LAG		Trust procit actors	IA
	S	В	F6	Level of trust of the members and beneficiaries in the LAG	F/b – Trust in the LAG by its beneficiaries	F – Trust a reciprocity the actors	E SOCIAL



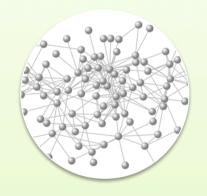


Results



AT THE LEVEL OF THE SINGLE LAG

LAGs described and analyzed, underlining internal strength and weaknesses linked to the endowment of social capital;



COMPARISON OF THE DIFFERENT LAGS

LAGs compared on different dimensions, sub-dimensions and indicators highlighting the causes of excellence for each of the previous step;

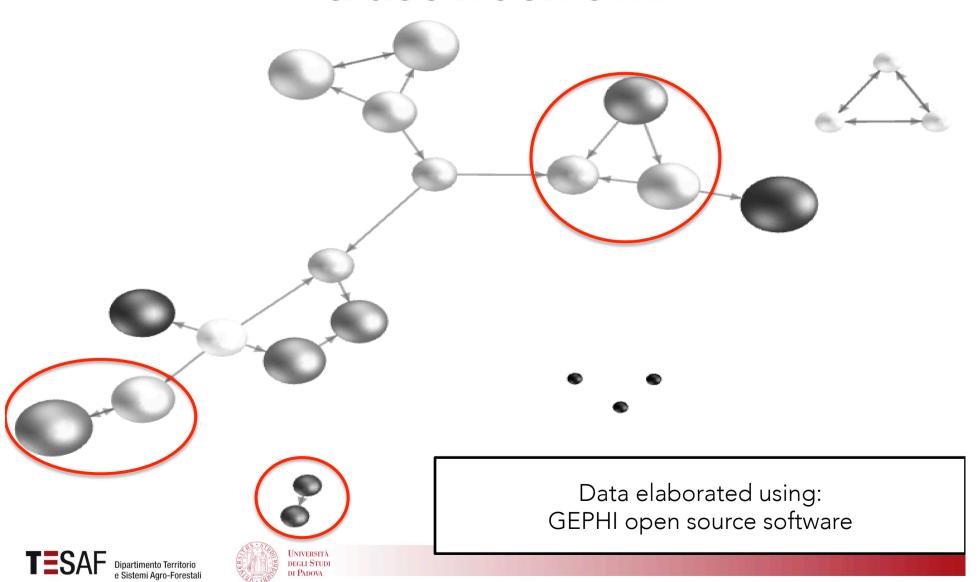




1° Typology of results (1.2)

Indicators		Sub-dimensions		Dimensions		Composite index	
N1	0,64	Na – Capacity		N – Decision making	0,91	GOVERNANCE	
N2	1,00						
N3	1,00	Nb – Accessibility to LAG		processes			
N4	0,99						
01	0,80	Oa – Integration In the territory		O – Efficiency and Effectiveness	0,57		
O2	0,96						
O4	0,88	Ob – LAG's coordination					
O5	0,32						
O6	0,26	Oc - Efficiency					
О3	0,19	Oc - Efficiency	0,23			AND SOCIAL	0,67
P1	0,56	Pa – Communication capacity			0,50	CAPITAL	
P5	0,08						
Р3	0,25	Pb - Monitoring		P – Capacity andorganization			
P6	0,79						
P9	1,00						
Q1	1,00	Qa – Opening to externals	1,00		0.75		
Q3	0,46			Q – Vertical			
Q5	0,83	Qb – Vertical linking		linking	0,75		
Q6	0,20						

1° Typology of results (2.2): trust network



2° Typology of results: causes of excellences

Sub-dimensions		Dimensions		Composite index	
Fa – Internal trust	Ternano	F – Trust and	Ternano		
Fb – Beneficiaries' trust	Camastra	reciprocity			
Ga – Institutional trust	Ternano	G – Trust in Institutions	Ternano		B. Padovana
Ha – Benefits achieved throuh the network	Meridaunia		Meridaunia	NORMATIVE COGNITIVE SOCIAL CAPITAL	
Hb – Partners' contribution to the network	B. Padovana	H – Network quality			
Ia – Participation quality in Assembly	B. Padovana		Valle Umbra		
Ib – Participation quality in Directive	Ternano	I – Participation quality			
Ic – Beneficiaries' proactivity	Valle Umbra				
La – Values in the network	Meridaunia				
Lb – Civic virtues	Valle Umbra	L – Shared values	Cosvel		
Lc – Territory identification	Pr. Dolomiti				
Ma – Conflicts	B. Padovana	M – Conflicts	B. Padovana		
Mb – Satisfaction	Sulcis	WI – Confincts	B. Fadovalla		

Conclusions

The method is a possible instrument for internal monitoring (by the LAGs) and external evaluation (by Region)

The method allows:

- an analytical description of the values of the various indicators (grouped into sub-dimensions and dimensions) for each LAG;
- thanks to the aggregation process (from single indicators to composite indexes) it is now possible to highlight strengths and weaknesses of the endowment of SC for each LAG.
- thanks to longitudinal analysis it could be possible to assess (in future researches) the changing endowment of SC by LAGs





This report was presented at the 5th LCSR International Workshop "Social and Cultural Changes in Cross-National Perspective: Subjective Well-being, Trust, Social capital and Values", which will be held within the XVI April International Academic Conference on Economic and Social Development.

April 8 - 10, 2015 – Higher School of Economics, Moscow.

www.lcsr.hse.ru/en/seminar2015

Настоящий доклад был представлен на V международном рабочем семинаре ЛССИ «Социальные и культурные изменения в сравнительной перспективе: ценности и модернизация», прошедшего в рамках XVI Апрельской международной научной конференции НИУ ВШЭ «Модернизация экономики и общества».

8-10 апреля 2015 года — НИУ ВШЭ, Москва.

www.lcsr.hse.ru/seminar2015